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May 30, 2002

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Assistant Commissioner for Patents
Washington, D.C. 20231

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Re: Woodward C. HOFFMAN et al.
U.S. Patent Application No. 09/997,716 Filed: November 26, 2001
Attorney Docket No: WH1-NP Confirmation No.: 4086
"ACCOUNTING SYSTEM FOR THE DYNAMIC STATE OF THE
PORTFOLIO REPORTING"

SIR:


We enclose herewith:

- ☒ Information Disclosure Citation Form PTO-1449
- ☒ Copies of (5) References Cited
- ☒ Acknowledgment Postcard

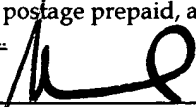
The Commissioner is hereby authorized to charge payment of the fees associated with this communication or credit any overpayment to Deposit Account No. 08-0570.

The applicants hereby petition under 37 CFR 1.136 or other applicable rule to have the response period extended the number of months necessary to render the attached communication timely if a petition is required.

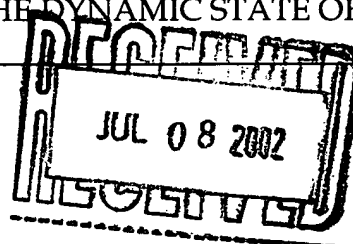
Respectfully submitted,


Anthony H. Handal
Reg. No. 26,275
Roger Pitt
Reg. No. 46,996

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, in an envelope, postage prepaid, addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231 on May 30, 2002.


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Form PTO-1449 (Rev. 8-83)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. WH1-NP		SERIAL NO. 09/997,716							
INFORMATION DISCLOSURE CITATION				APPLICANT HOFFMAN et al.									
				FILING DATE November 26, 2001		GROUP 2164							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		DOCUMENT NUMBER						DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		5	8	1	9	2	3	7	10/98	Garman			
		5	8	0	2	4	9	9	9/98	Sampson et al.			
		5	7	0	4	0	4	5	12/97	King et al.			
		5	6	3	0	1	2	7	5/97	Moore et al.			
		5	4	4	6	8	8	5	8/95	Moore et al.			
FOREIGN PATENT DOCUMENTS													
		Document Numvber						Date	Country				
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)													
EXAMINER									DATE CONSIDERED				
* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to the applicant.													

(2-92)

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